

APPENDIX A. MESSAGES REPORTED BY EDGAR

EDGAR generates and sends either acceptance or suspense messages to you after your submission has been received. Either type of message may contain notices of discrepancies or inconsistencies in the submission. Those notices are reported as warnings or errors. Errors cause a submission to be suspended, but warnings do not.

After EDGAR generates a suspense message, you must correct and/or resubmit the submission before we can accept it.

Notes:

- (1) In some cases, EDGAR may replace an unrecognizable character in your submission with a circumflex (^).
- (2) Some discrepancies may generate either a warning or an error message depending upon the tag or value involved.
- (3) If you use the submission or document validation option to check a filing before submitting it to us, you will receive the same similar messages as those received from EDGAR.
- (4) The term “tag” and the term “field” can be used interchangeably.

For more information, visit the [“Messages Reported by EDGAR” webpage](#) on SEC.gov, which contains the following:

- Examples of acceptance and suspense messages.
- Examples of errors and warnings reported in acceptance and suspense messages, and the accompanying messages that are generated.
- An alphabetical listing of error/warning messages, with explanations and suggested solutions.